

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gardenier, et al.

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

Title: A SOUND SYSTEM, A SPEAKER ASSEMBLY, AND A METHOD FOR PROVIDING SOUND FOR A SPA

To: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37. C.F.R. §1.97**

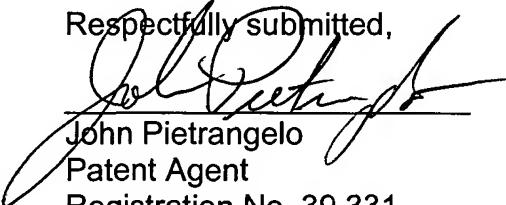
Dear Sir:

In accordance with 37 C.F.R. §§1.56 and 1.97, Applicant submits herewith an Information Disclosure Citation with references for consideration by the Examiner.

The prior art identified on the attached Citation was submitted during the prosecution of parent application 09/834,260 (now U.S. Patent No. 6,467,103) and pending application Serial No. 10/621,749.

The Applicant advises the Patent Office that the Applicant was unable to locate a copy of the brochure "Catalina Spas" (undated) which was submitted for application 09/834,260. The Applicant requests that the Patent Office obtain a copy of this document from the file wrapper of this parent application.

Respectfully submitted,



John Pietrangelo

Patent Agent

Registration No. 39,331

Dated: September 5, 2003

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DOCKET NO.
1442.033C

SERIAL NO.

Gardenier et al.

FILING

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,575,882	03/18/1986	Diamond	4	559	
	AB	3,585,991	06/22/1971	Balamuth	128	66	
	AC	4,130,120	12/19/1978	Kohler, Jr.	128	373	
	AD	2,905,259	09/22/1959	Ashe			
	AE	1,604,753	10/26/1926	Marr			
	AF	5,687,246	11/11/1997	Lancon	381	188	
	AG	6,021,208	02/01/2000	Kin-Lung	381	338	
	AH	4,839,930	06/20/1989	Watkins	4	546	
	AI	5,369,796	11/29/1994	Kung	455	344	
	AJ	5,524,062	06/04/1996	Oh	381	154	
	AK	5,715,546	02/10/1998	Kvalvik	4	580	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	32 29 451 A1	9 Feb/1984	Germany	A47 K	302	✓	
	BB	5103731	4/27/93	Japan	A47 K	302		

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Brochure "The Luxury Spa Line", Saratoga Spa Company, pages 1-6 (undated).
 Brochure "Geyser Spas" Saratoga Spa Co., Pages 1-4 (2000).
 Brochure "Adirondack Spas" Saratoga Spa & Bath Co., Inc., Pages 2. (undated).
 Brochure "Creating An Impression", Saratoga Spa Company., 20 pages. (July 1997).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 1442.033C		SERIAL NO.		
				FILING		GROUP		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AL		4,389,740	06/28/1983	Henry	4	575		
AM		6,467,103	10/22/2002	Gardenier, et al.	4	541.1		
AN		US-2003-0070218-A1	04/17/2003	Gardenier, et al.	4	541.1	10/10/2002	
AO		US No. 10/621,749		Gardenier, et al.			07/17/2003	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								